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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/920,450	08/01/2001	Yasuhiro Koizumi	A-407	4681

802 7590 09/26/2003
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PORTLAND, OR 97204

EXAMINER

VALENTIN, JUAN D

ART UNIT PAPER NUMBER

2877

DATE MAILED: 09/26/2003

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary

Application N .

09/920,450

Applicant(s)

KOIZUMI ET AL.

Examiner

Juan D Valentin II

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– The MAILING DATE of this communication appears on the cover sheet with the correspondence address –
Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 30 June 2003.
- 2a) ☒ This action is FINAL. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-9 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-9 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 30 June 2003 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- 11) ☐ The proposed drawing correction filed on _____ is: a) ☐ approved b) ☐ disapproved by the Examiner.
If approved, corrected drawings are required in reply to this Office action.
- 12) ☐ The oath or declaration is objected to by the Examiner.

Priority under 35 U.S.C. §§ 119 and 120

- 13) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. _____.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- * See the attached detailed Office action for a list of the certified copies not received.
- 14) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).
- a) ☐ The translation of the foreign language provisional application has been received.
- 15) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.

Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO-1449) Paper No(s) _____
- 4) ☐ Interview Summary (PTO-413) Paper No(s). _____
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other:

DETAILED ACTION

Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

1. Claims 1-9 rejected under 35 U.S.C. 102(b) as being fully anticipated by Batchelder et al. (USPN '403, hereinafter Batchelder).

Claim 1

Batchelder in conjunction with Fig. 1a, discloses a defect inspection apparatus for a phase shift mask (112, silicon wafer) having a phase shifter pattern (114, trenches) provided on a mask transparent substrate. That is characterized in that after said phase shifter pattern has been formed (ion etching), a phase shifter defect inspection is performed from a mask transparent substrate side of said phase shift mask, which is opposite to a side thereof where said phase shifter pattern has been formed, by applying inspection light to said mask transparent substrate side of said phase shift mask and capturing a reflected light image (col. 3, lines 8-10 & col. 7, lines 4-25). It is the position of the Office that the trenches of Batchelder formed by an ion etching process are the equivalent to Applicants claimed "phase shift patterns" which are also formed by a ion etching process.

Claim 2

Batchelder discloses a defect inspection apparatus for a phase shift mask wherein light is applied to said phase shift mask from the mask transparent substrate side thereof and reflection images of at least two different phase shifter pattern fabricated regions are captured (col. 3, lines 8-10 & col. 7, lines 4-25). Then respective image signals of the reflection images are compared with each other to detect a defect on the mask from a difference between said signals (col. 7, lines 45-67).

Claim 3

Batchelder discloses wherein said at least two different phase shifter pattern fabricated regions are phase shifter pattern fabricated regions of chips different from each other (Fig. 4e).

Claim 4

Batchelder discloses the reflection images of at least two different phase shifter pattern fabricated regions being captured through respective magnifying optical systems (Fig. 4e).

Claim 5

Batchelder discloses a defect inspection apparatus for a phase shift mask wherein at least two different phase shifter pattern fabricated regions are phase shifter pattern fabricated regions in an identical chip pattern (col. 7, lines 19-25). It is the position of the Office that if three different detectors are used, then three different light spots are formed on a single sample, those three different light spots are analyzing three different areas on an identical patterned reticle.

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Claim 6

Batchelder discloses in conjunction with Fig. 1a, a defect inspection apparatus for a phase shift mask wherein the reflection images of said at least two different phase shifter pattern fabricated regions are captured through an identical magnifying optical system (130).

Claim 7-9

Batchelder discloses a defect inspection apparatus for a phase shift mask wherein said reflection images obtained by reflected light are dark field images obtained by dark field illumination or bright field images obtained by bright field illumination (col. 11, lines 35-46).

Response to Arguments

2. Applicant's arguments with respect to claims 1-9 have been considered but are moot in view of the new ground(s) of rejection.

Conclusion

3. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire **THREE MONTHS** from the mailing date of this action. In the event a first reply is filed within **TWO MONTHS** of the mailing date of this final action and the advisory action is not mailed until after the end of the **THREE-MONTH** shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37


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CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.


Any inquiry concerning this communication or earlier communications from the examiner should be directed to Juan D Valentin II whose telephone number is (703) 605-4226. The examiner can normally be reached on M-Th., Every other Fr..

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Frank Font can be reached on (703) 308-4881. The fax phone number for the organization where this application or proceeding is assigned is (703) 872-9306.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is (703) 308- 0955.



Juan D Valentin II
Examiner 2877
JDV



Michael P. Starnes
Primary Patent Examiner
Technology Center 2